



SMIFS CAPITAL MARKETS LIMITED

28th July, 2021
The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001

Dear Sir,

Sub: (i) Newspaper Advertisement – IEPF Advertisement Notice on 1st July, 2021 (Annexure – I)

We are forwarding copies of newspaper advertisement in respect of Notice to Shareholders given for transferring their shares and dividend amount for the year 2013-14 in English Daily (Business Standard) on 1st July, 2021.

Please Acknowledge.

Yours faithfully
For SMIFS Capital Markets Limited

(Poonam Bhatia)
Company Secretary-cum-Senior Manager Legal



Encl: As stated

SMIFS CAPITAL MARKETS LIMITED

Regd. Office: 'Vaibhav' 4F, 4, Lee Road, Kolkata - 700 020

CIN NO: L74300WB1983PLC036342

Tel No. 033-2290-7400/ 7401/7402/0544 Fax No. 033-2287-4042, 2240-6884

E-mail: smifcap@gmail.com, cs.smifs@gmail.com Website: www.smifscap.com

NOTICE TO SHAREHOLDERS

For transfer of shares to the Investor Education and protection Fund (IEPF) Account
(As per Section 124(6) of the Companies Act, 2013)

In terms of requirements of Section 124(6) of the Companies Act, 2013 read with Investor Education and Protection Fund (IEPF) Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ("the rules"), the Company is required to transfer the shares, in respect of which the dividend remains unpaid or unclaimed for a period of seven consecutive years or more to the IEPF Account established by the Central Government.

The Company has sent individual communication to the concerned shareholders who have not encashed the final dividend for the financial year 2013-14 and all subsequent dividends declared and paid by the Company, which are liable to be transferred to IEPF Accounts as per the said Rules.

A list of such shareholders who have not encashed their dividends for seven consecutive years and whose share and dividend amount are therefore liable for transfer to IEPF Account is displayed on the website of the Company www.smifscap.com.

Shareholders are requested to forward the requisite documents as mentioned in said communication to the Company's Registrar and Share Transfer Agent on or before 10th October, 2021 to claim the shares and unclaimed dividend amount(s). Notice is hereby given that in the absence of receipt of a valid claim by the shareholder, the Company would be transferring the said shares to IEPF Account without further notice in accordance by following the process as mentioned below:

1. In case of shares held in Physical form - by issuance of duplicate share certificates on behalf of the concerned members and then transferring the same to IEPF Authority.
2. In case of shares held in Demat form - by transferring the shares directly to Demat account of IEPF Authority with the help of Depository Participant.

Please note that no claim shall lie against the Company in respect of unclaimed dividend amount and shares transferred to IEPF pursuant to the said Rules. Any person whose shares/unpaid dividend is transferred to the Fund may claim the shares/dividend from the Investor Education and Protection Fund Authority pursuant to the provisions of Section 124 and 125 of the Act and the Rules by submitting an online application in Form IEPF-5 available on the website www.iepf.gov.in with a copy to the Company.

For any information/ clarifications on this matter, concerned shareholders may write to the Company at smifcap@gmail.com / cs.smifs@gmail.com or contact the Company's Registrar and Share Transfer Agent- M/s Maheshwari Datamatics Private Limited, 23, R.N. Mukherjee Road, 5th Floor, Kolkata-700001, Kolkata-700001. Tel.: 033-22435029/22482248. Email: mdpldc@yahoo.com.

For SMIFS Capital Markets Limited

Place : Kolkata

Date: 30.06.2021

(Poonam Bhatia

Company Secretary cum Senior Manager Legal,

Sd/-

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[Signature]

For SMIFS CAPITAL MARKETS LIMITED
[Signature]
(POONAM BHATIA)
COMPANY SECRETARY
CUM-SENIOR MANAGER LEGAL